Final Electrode Position in Subthalamic Nucleus Deep Brain Stimulation Surgery: A Comparison of Indirect and Direct Targeting Methods

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ABSTRACT

AIM: High frequency stimulation of the subthalamic nucleus (STN) is nowadays a widely performed surgery for patients with Parkinson’s disease (PD). The field has witnessed a shift from indirect targeting to direct targeting. The question arises whether this change has influenced the final electrode position in STN deep brain stimulation surgery. To address this question, we compared the final electrode positions in atlas-based and magnetic resonance-based targeting methods in our series.

MATERIAL and METHODS: We performed a database review of the surgeries performed in three affiliated centers.

RESULTS: We have found that with the shift to direct imaging, three key changes have taken place. The first is that the number of microelectrode recording trajectories has decreased by approximately 1 microelectrode. Secondly, the central trajectory has been chosen as the final position in more patients, and the third change is that direct targeting has improved the laterality of the targeting significantly.

CONCLUSION: Direct targeting has changed routine clinical practice, thereby further refining the surgical approach.

KEYWORDS: Direct targeting, Final lead, Indirect targeting, Parkinson’s disease, Subthalamic nucleus

INTRODUCTION

High frequency stimulation of the subthalamic nucleus (STN) is nowadays a widely performed surgery for patients with Parkinson’s disease (PD) (2, 15). The long-term therapeutic effects have been documented by numerous clinical studies (4, 8). The most prominent determinants of the success of this therapy are the selection of patients and the accuracy of targeting the STN. For the latter, three factors are relevant: stereotactic accuracy, imaging techniques and electrophysiological mapping of the target area (3, 6, 24). Stereotactic coordinates derived from stereotactic human brain atlases merged with ventriculography and/or computerized tomography (CT) in combination with microelectrode recording (MER) were the fundamental tools of surgery in early days (indirect targeting) (11, 13). Advances in imaging techniques allowed a direct visualization of the target with high-resolution magnetic resonance (MR) imaging in recent years (direct targeting) (1, 16, 20, 23). The field has witnessed a shift from indirect targeting to direct targeting. The question arises whether this change has influenced the choice for the final electrode position in STN deep brain stimulation (DBS) surgery. To address
this question, we compared the final electrode positions in atlas-based and MR-based targeting methods in our series.

**MATERIAL and METHODS**

**Design of the study**

This study is a retrospective database review of the surgeries performed in the Maastricht University Medical Center (MUMC), Ondokuz Mayis University (OMU) hospital and Istanbul Medipol University (IMU) hospital. The DBS programs for movement disorders of OMU and IMU were established in accordance with the MUMC DBS program.

**Data collection**

Medical records of patients with PD undergoing DBS of the STN in the three centers were investigated by three authors independently (MT, EK, and YT). Patient demographics, surgical details, intraoperative electrophysiological findings, and postoperative clinical and radiological data were collected.

**Surgical technique**

 Patients with PD were referred to our centers for DBS of the STN. The details regarding patient selection and follow-up have been described before (6, 21). The surgeries can be divided into two periods. The first period is from 2003-2011 and the second period is 2012-2014. The surgical techniques in both periods were identical except for the targeting method (Figure 1A-C). In the first period, referred here as group A, the targeting was performed indirectly with atlas-based coordinates. The standard coordinates were 11-13 mm lateral, 2 mm posterior, and 4 mm below the mid-commissural point (22). In the second period, referred here as group B, the targeting was performed directly on T2 W MR imaging on a 1.5 (OMU) or 3T (MUMC and IMU) scanners. In this group of patients the dorsolateral part of the STN was targeted, as described previously (5). In both periods, MR scanning was performed 1-5 days before surgery; including gadolinium enhanced T1 weighted imaging (slice thickness 1 mm with no gaps). The T2 axial sequence was added in 2011 (slice thickness 2 mm with no gaps) to the imaging protocol allowing direct targeting (Figure 1A-C). The trajectory planning was performed on contrast enhanced T1 imaging. On the day of surgery, a stereotactic CT was obtained and a fusion with the MR images was performed (Framelink, Medtronic, Minneapolis, USA) as described by Savas et al previously (16). In principle, 5 microelectrodes were used for neurophysiological mapping of the area, if the trajectory planning allowed this. In the case of the presence of vessels in one or more of these trajectories, we discarded the respective electrode(s). MERs were initiated 10 mm above the target point and continued 1.0 mm steps. From 5 mm above target, steps of 0.25-0.50 mm were used for MER until STN activity disappeared and/or typical substantia nigra activity appeared. The trajectories with the longest STN activity were chosen for test stimulation. The trajectory with the least side effects and highest effect on the key symptoms with the largest stimulation window (amplitude thresholds of therapeutic and side-effects) was chosen for the final placement of the final electrode (5, 6). Postoperatively all patients received either a CT or MR, and the images were fused.

**Statistical analysis**

The data are presented as mean and standard deviation (SD). An independent samples T test was used to investigate meaningful differences with respect to MER and trajectories. A chi-square test was used to evaluate the differences in the distribution of the final trajectories between groups. A p< 0.05 was considered significant. All data were analyzed by SPSS version 22.0 (IBM Inc, USA).

**RESULTS**

**Demographic data**

In total, complete surgical data sets from 98 patients were collected. Fifty-seven patients were in group A (indirect targeting period) and 41 patients entered group B (direct targeting period). The mean ages at surgery were 61.4± 9.2 and 56.4 ± 9.3 for groups A and B, respectively. The percentage of males were 64.9% and 56.1% and females 35.1% and 43.9%, in groups A and B, respectively. All patients received bilateral DBS of the STN except for two in group B.

![Figure 1: This figure shows 3 MRI images from the planning station (Framelink, Medtronic). A) illustrates the target on a T1 axial MR image in a patient in group A (indirect targeting with the standard coordinates, x=12, y=-2, z=-4, from the midcommissural point). B) shows the target on a T2 axial MR image in a patient operated on with direct targeting (group B), if the standard coordinate were used, and in C, the same image, when direct targeting is performed. Please note the difference between the target with standard coordinates (B) and individually tailored coordinates (C). Abbreviations: STN: Subthalamus, RN: Red nucleus.](image-url)
In the patients of this study, postoperative imaging revealed an adequate electrode position in accordance with the surgical planning.

**Trajectories**

In group A, in total 469 MER electrodes were used during surgery and in group B, 280 MER electrodes were used. This means that in group A, per STN a mean of 4.1 ± 0.9 MER were used. In group B, a mean of 3.4 ± 0.8 MER electrodes were used per STN. This difference was statistically significant (t=5.5, p<0.01).

**Final electrode positions**

In group A, in 53% of the STN’s, the central trajectory was chosen and in group B, in 62% of the STN’s (Table I). This difference did not reach statistical significance. However, the difference between the lateral trajectories, 15% in group A and 4% in group B, was statistically the most meaningful difference (p<0.01).

**Target coordinates**

Additional analysis of the stereotactic coordinates obtained from direct targeting in group B showed the following parameters from the mid-commissural point were obtained for the target, X: 11.89 (10.4-13.4) ± 0.76 mm, Y: 1.74 (0.5-3) ± 0.59 mm and Z: 4.0 (2-5) ±0.79.

After MER and test stimulation, the implantation coordinates became, X: 12.10 (10-14) ±0.99 mm, Y: 1.94 (0-4) ±0.94 mm and Z: for group A, and X: 11.76 (9.5-13.4) ±0.94 mm, Y: 2.01 (0-5) ±1.25 and Z: 4.00 (2-5) ±0.82 for group B.

### DISCUSSION

Here, we addressed the question whether advances in the targeting method, from indirect to direct targeting, has influenced the choice for the final trajectory. The indirect targeting method was used between 2003 and 2011 at the main center (MUMC) with the greatest contribution to data pool in this study. MUMC shifted to direct targeting by 2012. However having the identical technique with MUMC under supervision of the senior author, the other two centers are relatively new and OMU performed mostly the direct targeting method in DBS surgery planning between 2011 and 2014, and IMU between 2013 and 2014. While the atlas-based stereotactic predefined coordinates were used to localize the STN in indirect targeting era, the T2 images were used in recent direct targeting method as described in detail previously (6, 16). Our multi-affiliated center retrospective investigation shows that three key changes have taken place. The first is that the number of MER trajectories has decreased by approximately 1 microelectrode. This is an intuitive development, since a better visualization of the target by mainly T2 W imaging at higher resolution, is likely to result in a more accurate approach, thereby discarding selectively trajectories. One example is that an anterior trajectory can be discarded if the targeting is placed in the dorsal part of the STN as visible on the MR images, thereby still having access to medial, lateral and posterior trajectories, if needed.

The second change is that the central trajectory has been chosen as the final position in more patients. Although this does not reach statistical significance in this investigation, the difference is about 10% (17). This is again intuitive. With direct visualization of the target, in about two-thirds of the patients the presumed target trajectory becomes also the final trajectory. In other patients, a different trajectory is chosen due to longer STN activity with MER and/or a better therapeutic window. It is known that the boundaries of the STN on the MR do not always correspond with MER. Also the direct visualization of the STN may be challenging in differentiation from SNr, especially on low-resolution images. The posterior border of the STN remains challenging even at 3T MRI (12, 18). In addition, the position of the optimal place might vary per patient (24).

The third change is that the direct targeting has apparently improved the laterality of the targeting, since with adequate T2 weighed imaging the lateral border of the STN and the internal capsule can be visualized accurately. The anatomical variability of the STN is on MR images a known phenomenon (7, 10). Here, we found similar variations in the direct targeting group for the presumed target, with ranges of 3.0 mm for X (10.4-13.4), 2.5 mm for Y (0.5-3) and 3.0 mm for the Z-axis (2-5), with respect to the mid-commissural point. The anatomical variation of the target within the STN obtained preoperatively is also known to vary (9, 14).

A final remark is that in group B, the mean age was significantly younger. This a well-known development in the field, already obvious before the publication of the EARLYSTIM study group’s results (19), probably due to the increased awareness of the long-term beneficial effects of the treatment, and possibility of adequately management of its complications. However out data pool was collected from three affiliated centers performing the identical DBS surgery discipline, the MRI devices used for acquiring preoperative targeting images have different Tesla powers. This issue may arise the question of different distortions. We only accepted the total deviation of less than 1 mm measured on surgical planning software in all our procedures.

### Table I: This Table Shows the Distribution of the Final Electrode Positions in the Five Possible Trajectories in the Group of Patients Operated on with Indirect Targeting (Group A) and Direct Targeting (Group B).

<table>
<thead>
<tr>
<th>Final electrode position</th>
<th>Indirect targeting</th>
<th>Direct targeting</th>
</tr>
</thead>
<tbody>
<tr>
<td>Center</td>
<td>53</td>
<td>62</td>
</tr>
<tr>
<td>Anterior</td>
<td>12</td>
<td>10</td>
</tr>
<tr>
<td>Medial</td>
<td>10</td>
<td>10</td>
</tr>
<tr>
<td>Lateral</td>
<td>15</td>
<td>4</td>
</tr>
<tr>
<td>Posterior</td>
<td>10</td>
<td>15</td>
</tr>
</tbody>
</table>
CONCLUSION

With the shift to direct imaging, three key changes have taken place: the number of MER trajectories has decreased by approximately 1 microelectrode, in more patients the central trajectory has been chosen as the final position, and the third change is that direct targeting has improved the laterality of the targeting significantly.

REFERENCES