The Predictor Role of the Aqueduct Cerebrospinal Fluid Flow on Endoscopic Third Ventriculostomy: Explication on Assumption Physical Model

Ihsan ANIK¹, Yonca ANIK², Burak CABUK¹, Aykutlu DANA³, Aykut GOKBEL¹, Dilek OZDAMAR⁴, Musa CIRAK⁵, Savas CEYLAN¹

¹Kocaeli University, Department of Neurosurgery, Kocaeli, Turkey
²Kocaeli University, Department of Radiology, Kocaeli, Turkey
³Bilkent University, Institute of Materials Science and Nanotechnology, Ankara, Turkey
⁴Kocaeli University, Department of Anesthesiology and Reanimation, Kocaeli, Turkey
⁵Bakırköy Sadi Konuk Education and Research Hospital, Neurosurgery Clinic, Istanbul, Turkey

ABSTRACT

AIM: To evaluate the cerebrospinal fluid (CSF) flow dynamics in the aqueductus sylvii of patients with obstructive hydrocephalus who underwent endoscopic third ventriculostomy (ETV) and to predict ventriculostomy patency via aqueduct flow measurements.

MATERIAL and METHODS: Twenty-four patients with obstructive hydrocephalus caused by primary aqueduct stenosis who underwent ETV were included in the study. All the patients underwent conventional and cine magnetic resonance imaging before and after treatment. The flow of CSF in the aqueduct of Sylvius and pre-pontine cistern was assessed, and the diameter of the third ventricle was also measured. Increase in the aqueduct flow velocity after a successful ETV was supported by the assumption physical model that highlights a possible mechanism that explains the clinical findings.

RESULTS: The flow pattern and velocity in the pre-pontine cistern and aqueduct were normal in 17 out of 24 patients who responded to ETV clinically. However, seven patients who did not respond to ETV had an abnormal flow pattern in both the pre-pontine cistern and aqueduct.

CONCLUSION: The flow pattern in the aqueduct was normalised and velocity was increased compared with those of preoperative values after a successful ETV. The flow of CSF in the pre-pontine cistern is routinely used for ventriculostomy patency assessment. In addition, aqueduct measurements may be useful in predicting ventriculostomy patency. The physical model provides valuable insights on a possible mechanism that affected the experimental data.

KEYWORDS: Aqueductal stenosis, cine-MRI, Endoscopic third ventriculostomy, Obstructive hydrocephalus

INTRODUCTION

Endoscopic third ventriculostomy (ETV) for the management of hydrocephalus has been becoming more popular because it is minimally invasive and does not involve the usual complications of shunt implantation (4,14,16,18). Cine-magnetic resonance imaging (MRI) is a technique that is used to evaluate the patency of ventriculostomy after ETV (1,14,16). Thus, in the present study, we aimed to evaluate the efficacy of ETV in patients with hydrocephalus caused by aqueductal stenosis, by measuring and comparing the flow of cerebrospinal fluid (CSF) in the aqueductus sylvii and pre-pontine cistern. We also validated improvement in aqueductal flow after successful ETV in the assumption physical model.
MATERIAL and METHODS

Patient Selection

Twenty-four patients with obstructive hydrocephalus caused by simple primary aqueduct stenosis who underwent ETV in the neurosurgery department of our hospital were included in the study. The exclusion criteria included the following: patients with partial aqueductal web or mass lesion, those with normal pressure hydrocephalus (NPH), those who underwent another surgical procedure such as aqueductoplasty or extraventricular drainage and those who were re-operated. The present study was approved by the Institutional Review Board of the hospital, and informed consent was obtained from all the patients before the start of the study.

Nine male and 15 female participants aged 18–70 (mean: 28; median: 33) years were included in the study. All the patients were followed-up for 6–72 (mean: 42.1; median: 44) months after surgery. The following symptoms were observed: headache (n=15), vertigo (n=5), amnesia (n=6), loss of balance (n=8) and incontinence (n=4).

Clinical improvement was assessed as “improved”, “unchanged” or “deteriorated” and was compared with preoperative findings.

Surgical Procedure

ETV was performed by puncturing the floor of the third ventricle using a neuroendoscope (0° rigid rod lens, 4.0 mm; KARL STORZ GmbH & Co., Tuttlingen, Germany) in the midline, which is in front of the mammillary bodies.

MRI Procedure and Analysis

MRI was performed using a 1.5-T magnet (Philips Intera Master, Eindhoven, the Netherlands), 30-mT/m maximum gradient strength and 150-mT/m/ms slew rate, with the use of a head coil.

CSF flow was preoperatively assessed using conventional MRI and phase-contrast cine MRI. Conventional MRI sequences include T1 weighted in the axial plane and 3D T2 DRIVE in the sagittal plane.

Phase-contrast cine-MRI was performed on the basis of the method used by Enzmann et al. (6). The signal changes were obtained at the level of the aqueduct of Sylvius on axial images perpendicular to CSF flow planned on sagittal image to minimize the partial volume artefact and prepontine cistern (Figure 1). A quantitative flow study was performed using 2D FFE [echo time (TE): 5–8 ms; repetition time (TR): 9–12 ms and flip angle (FA): 15°], 10 cm/s of velocity encoding (VENC), 256 × 256 matrix and 210-mm field of view. This pulse sequence yielded 16 quantitative flow-encoded images per cycle. Peripheral or cardiac triggering was used to cover the entire cardiac cycle with retrospective cardiac synchronisation. Measured signals were converted to velocity and flow using the quantitative analysis program. V peak rostral (Vpr) (maximal flow velocity during systolic period), V peak caudal (Vpc) (maximal flow velocity during diastolic period) and maximal flow velocity (Vmax), which was calculated as the mean absolute values of the peak craniocaudal and caudocranial velocities and flow pattern (to-and-fro pattern), were evaluated.

The patency of the third ventriculostomy was observed via MRI (Figure 2) and cine-MRI (Figure 3).

Follow-up

The follow-up assessments included neurological examination and cranial MRI (cine-MRI of the CSF flow in the aqueductus sylvii and prepontine cistern). The clinical course after ETV is characterised by prompt recovery of clinical symptoms. Otherwise, ETV may not be successful. MRI was performed to assess ventriculostomy patency.

Assumption Physical Model

Increase in aqueduct flow velocity after successful ETV was assessed in the assumption physical model. Sinusoidal flow of the CSF is modelled by two elastic cavities, $C_1$ and $C_2$, separated by an orifice of area $A$ in the centre. This is further...
modelled as two coupled simple harmonic oscillators, where coupling is via the aqueduct (Figures 4A, B).

**Statistical Analysis**

Statistical analyses were performed using SPSS version 20.0. Simple descriptive tests were used to calculate the mean, median and percentage of the parameters. Student’s t-test and Mann–Whitney U test were utilised to compare CSF flow dynamic measurements. Pearson correlation test was used to correlate the CSF flow dynamic measurements with clinical outcomes. P value <0.05 was considered to be statistically significant.

**RESULTS**

**MRI Results**

Radiological diagnostic criteria for aqueduct stenosis included the following: Dilatation of the lateral ventricles and third ventricle, downward bulging of the third ventricle and normal-sized fourth ventricle on conventional MRI and CSF flow impairment at the aqueduct level on cine-MRI.

![Figure 3: Cine-MR sagittal images demonstrate flow at third ventricle base and ventriculostomy area. Arrows point to CSF flow at ventriculostomy level.](image)

![Figure 4: A] On the model we created, C1 is secretion and C2 is absorption that represents CSF dynamics of the brain. The sinusoidal flow of the CSF is modelled by two elastic cavities C1 and C2 separated by an orifice of area A in the centre. In the other words the model represents CSF dynamics as in front of the blockage (C1) and back of the blockage (C2). The only pathway before ETV is aqueductus sylvii. Thus we added an orifice of area A as aqueduct. The path on the model represents the connection between the front of the blockage and interpeduncular and prepontine areas and thus the area providing the CSF flow through the subarachnoid space. The sinusoidal flow of the CSF is modelled by two elastic cavities C1 and C2 separated by an orifice of area A in the center. This is further modelled as two coupled simple harmonic oscillators, where the coupling is via the aqueduct. Blocked or smaller aqueduct causes little or no coupling between the two oscillators. The springs shown as pistons represent the elastic membranes or elastic cavity tissue. Because the aqueduct piston is also acting as a spring, uncoupled oscillators each have a resonance frequency of $\omega_1 \sim 2k/m$, where k is the elastic spring constant and m is the moving mass. B] After the operation, the diminished aqueduct is carried by another opening, and coupling is resumed. In the strong coupling regime, the resonance frequency of the system is recovered back to original value of $\omega_0 = k/m$ which is near the pulse rate. If there is extra coupling introduced by the operation at another location, the two masses are moving together in a symmetric coupled mode which has a reduced frequency of $\omega_0 = k/m$ which is about the same as the pulse rate.](image)
DISCUSSION

ETV is a minimally invasive and safe method for CSF diversion. It is considered to be the gold standard treatment for aqueduct stenosis causing tri-ventricular obstructive hydrocephalus (1,9,15). Endoscopic fenestration of the floor of the third ventricle performed via ETV creates an alternative route for the CSF to flow to the subarachnoid space. Creating an opening between the ventricular system and subarachnoid space allows the CSF produced in the ventricles to pass into the subarachnoid space and be absorbed by the arachnoid villi into the sagittal sinuses (11).

Currently, ETV, which is used as treatment for obstructive hydrocephalus, provides a physiologic route for the flow of CSF, and the development of new endoscopes with improved optical features and easy-to-handle video systems has made the method feasible. In addition, ventriculostomy has been extremely successful (60%–80%) with rare complications (5%) (2-4,10,13,14,16,18).

In addition to anatomical considerations, imaging should enable decisions on CSF hydrodynamics before and after the surgery. Several MRI techniques have been described for the evaluation of CSF (4,5,12), and most conventional diagnostic procedures in the past have relied on clinical evaluation, radiological images, spinal infusion test and radioisotope cisternography (14). Modalities used to determine the success of the procedure include the evidence of stoma

Figure 5: CSF flow dynamics of aqueduct is shown. CSF flow pattern is deteriorated along with decreased CSF flow and volume.
Figure 6: Normalization of aqueductal CSF flow pattern following third ventriculostomy is seen. Note that it is sinusoidal pattern.

Figure 7: Flow pattern and flow volume of prepontine cistern following ETV is shown. Classical sinusoidal flow pattern is seen.
Moreover, changes in measurements in the aqueductus sylvii before and after ETV. In this study, we evaluated the changes in CSF flow pattern preoperatively, and after successful ETV, the flow of the aqueduct showed decreased velocity and deteriorated cephalus because of primary aqueductal stenosis. CSF flow diagnoses of NPH correlated well with the results of cine MRI ties decreased in the NPH group. In addition, the clinical diagnosis of NPH correlated well with the results of cine MRI (14). Peak CSF flow velocity and stroke volume in the aqueduct significantly increased in the NPH group and significantly decreased in the obstructive hydrocephalus group. After lumboperitoneal shunting, the retrograde CSF flow was anterogradely converted, and the peak flow velocities decreased in the NPH group. In addition, the clinical diagnosis of NPH correlated well with the results of cine MRI and ETV in the obstructive hydrocephalus group. CSF flow velocity increased with re-markedly high stroke volume in the prepontine cistern.

Our study group comprised patients with obstructive hydrocephalus because of primary aqueductal stenosis. CSF flow of the aqueduct showed decreased velocity and deteriorated flow pattern preoperatively, and after successful ETV, the flow pattern normalised and flow velocity significantly increased.

In this study, we evaluated the changes in CSF flow measurements in the aqueductus sylvii before and after ETV. Moreover, changes in measurements in the aqueduct that reflect CSF dynamics after ETV in the physical assumption model were presented. The model is a first order approximation that describes the system. It highlights a mechanism that may explain the clinical findings.

**Assumption Physical Model**

The sinusoidal flow of the CSF is modelled by two elastic cavities \( C_1 \) (in front of the blockage) and \( C_2 \) (at the back of the blockage) and separated by an orifice of area \( A \) (aqueductus sylvii, which is the only dynamic pathway before ETV) in the centre. This is further modelled as two coupled simple harmonic oscillators, where the coupling is via the aqueduct. The extra path on the model represents the connection between the front of the blockage and interpentricular and prepontine areas and the area providing the CSF ow through the subarachnoid space.

A blocked or smaller aqueduct causes minimal or no coupling between the two oscillators. The springs shown as pistons represent the elastic membranes or cavity tissues. The flow is forced by pulsating pressure primarily on one side of the system, i.e. on cavity \( C_1 \). The flow is assumed to be laminar viscous, which allows us to view the aqueduct as an obstruction that limits flow velocity. Resistance of the aqueduct to flow is greater if the diameter is smaller. To understand flow dynamics, the fluid model can be converted into a lumped mechanical model comprising two mechanical oscillators that are coupled by the aqueduct. The problem arises when the aqueduct area becomes too small, causing the coupling to be too low. In this case, the motion is in anti-symmetric oscillation mode. The piston representing the aqueduct acts as an additional spring. Because the mode is anti-symmetric, the pressure on each side of the aqueduct is at approximately the same phase, and flow is suppressed. If there is extra coupling introduced by the operation at another location, the two masses move together in a symmetric coupled mode, which is approximately the same as the pulse rate. The recovery of the resonance frequency to the driving pulse rate may have caused the recovery of the flow rate through the aqueduct, i.e. the flow increased again because of the resonant symmetric mode. In summary, a blocked aqueduct modifies the dynamics of the CSF system and increases the mechanical resonance frequency thus diminishing flow rate. The operation reverts the mechanical properties to their original state, and the flow rate is recovered (Figures 4A, B).

The preoperative aqueduct flow on cine-MRI is deteriorated; as the aqueduct is small, CSF flow is restricted and causes increased CSF volume in the small aqueduct, causing resistance. Thus, the resistance to flow in the aqueduct is greater if the diameter is smaller. Another CSF pathway (ventriculostomy) is created via ETV, and CSF load, i.e. the resistance of the aqueduct to flow is decreased. If the decrease is adequate, the CSF flow in the aqueduct normalises, which was explained in the model. That can be a predictor of ventriculostomy patency expectance. If the alternative CSF pathway (ventriculostomy) is open but not adequate for CSF flow turnover, the CSF load in the aqueduct will increase, thus causing an increase in the resistance to the aqueduct flow and deterioration of aqueduct flow that can be seen

**Table I:** The Mean CSF Flow Velocity Parameters of the Aqueductus and Prepontine Cistern

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Preoperative</th>
<th>Postoperative</th>
<th>Postoperative Prepontine</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vpr</td>
<td>5.2</td>
<td>3.1</td>
<td>4.5</td>
</tr>
<tr>
<td>Vpc</td>
<td>4.8</td>
<td>3.4</td>
<td>4.2</td>
</tr>
<tr>
<td>Vmax</td>
<td>6.5</td>
<td>4.9</td>
<td>5.7</td>
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*Note: Values are in cm/s.*
on cine-MRI. In summary, a blocked aqueduct modifies the dynamics of the CSF system and increases the mechanical resonance frequency, hence diminishing the flow rate. The ventriculostomy operation reverts the mechanical properties to their original state, and flow rate is recovered. The recovery of the resonance frequency to the driving pulse rate may have caused the recovery of flow rate through the aqueduct, i.e. the flow increased again because of the resonant symmetric mode.

The physical assumption model is presented herein to explain the findings. Although the physical model is hypothetical, it provides valuable insights on a mechanism that may affect the experimental data. The model is extremely simple. However, it captures the essential dynamics and response of the CSF flow dynamics in the aqueduct. The model remains a first order approximation in the presence of a different route.

**CONCLUSION**

The CSF flow pattern and velocity through the aqueduct is normalised after successful ETV, and this normalisation correlates well with that in the prepontine cistern flow as well as clinical findings. The flow of CSF in the prepontine cistern is used during the follow-up of ETV response; according to the results of our study, the aqueductus sylvii may be an alternative location. The flow of CSF in the aqueduct may be easier to evaluate than that in the prepontine cistern. Thus, the flow of CSF in the aqueduct and prepontine cistern can be used in response to surgical treatment and follow-up.

**REFERENCES**