



# Factors Influencing the Decision to Retain or Remove the Bone Flap of Adult Patients with Traumatic Brain Injury: A Retrospective Study

## *Travmatik Beyin Hasarı Olan Yetişkin Hastaların Kemik Flebini Koruma veya Çıkarma Kararını Etkileyen Faktörler: Retrospektif Bir Çalışma*

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### ABSTRACT

**AIM:** To investigate clinical factors that may influence the decision to preserve or remove the bone flap during the craniectomy surgery for patients of traumatic brain injury.

**MATERIAL and METHODS:** Clinical data from 2256 TBI patients were quantitatively analyzed and scored based on multiple clinical factors, including preoperative Glasgow Coma Scale (GCS) score, changes in pupil size, hematoma volume, time interval between injury and surgery, midline shift on CT scan, hematoma location and type, cortical collapse and the lateral ventricular shift deformation.

**RESULTS:** We identified several independent factors in the decision to preserve the bone flap: GCS score and pupil changes before the operation, cortical collapse, injury/surgery time interval and hematoma location. The results suggested that for patients with a combined score of  $\geq 55$ , their bone flap was generally retained. For cases with a score of 50-55, the surgical decision was based on the patient level of preconscious status, changes in pupil size and the extent of postoperative cortical collapse, and for patients with a score  $< 50$ , the bone flap was generally removed.

**CONCLUSION:** Our scoring scheme is to identify factors that may be helpful when determining whether to remove or retain bone flap of TBI patients.

**KEYWORDS:** Traumatic brain injury, Bone flap, Surgical procedure, Decompressive craniectomy

**ABBREVIATIONS:** **GCS**, Glasgow coma scale; **DC**, decompressive craniectomy; **TBI**, traumatic brain injury; **ICP**, intracranial pressure; **CPP**, cerebral perfusion pressure; **ICU**, intensive care unit.

### ÖZ

**AMAÇ:** Travmatik beyin hasarı (TBI) olan hastalar için kraniyektomi sırasında kemik flebini koruma veya çıkarma kararını etkileyebilecek klinik faktörleri araştırmak.

**YÖNTEM ve GEREÇLER:** 2256 travmatik beyin hasarı hastasının klinik verileri kantitatif olarak analiz edildi ve preoperatif Glasgow Koma Ölçeği (GCS) skoru, gözbebeği büyüklüğü değişiklikleri, hematom hacmi, yaralanma ve ameliyat arasındaki zaman aralığı, BT taramasında orta hat kayması, hematom yeri ve tipi, kortikal çöküş ve lateral ventriküler kayma deformasyonu dahil olmak üzere birçok klinik faktöre göre derecelendirildi.

**BULGULAR:** Kemik flebini koruma kararında birçok bağımsız faktör tespit ettik: Operasyon öncesi GCS skoru ve gözbebeği değişiklikleri, kortikal çöküş, yaralanma/cerrahi zaman aralığı ve hematom yeri. Sonuçlar  $\geq 55$  toplam puanı olan hastalarda, kemik flebinin genellikle muhafaza edildiğini düşündürmüştür. 50-55 puanı olan hastalarda cerrahi tedavi kararı hastanın önceki durumu, gözbebeği büyüklüğü değişiklikleri ve postoperatif kortikal çöküşün kapsamına dayalıydı, ve  $< 50$  puanı olan hastalarda kemik flebi genellikle çıkarılmıştı.

**SONUÇ:** Puanlama düzenimiz TBI hastalarında kemik flebini muhafaza etmeye veya çıkarmaya karar verirken yardımcı olabilecek faktörleri belirlemeye yararabilir.

**ANAHTAR SÖZCÜKLER:** Travmatik beyin hasarı, Kemik flebi, Cerrahi prosedür, Dekompresif kraniyektomi

## INTRODUCTION

Decompressive craniectomy (DC) has been practiced since the early nineteenth century (8). Neurosurgeons often need to make empirical decisions on whether to remove or retain bone flap of traumatic brain injury (TBI) patients after draining patients' intracranial hematoma and cerebral contusion, as there is no established protocol for doctors to determine which procedure to perform for TBI patients (5, 6, 32). If the patient undergoes the DC procedure and the bone flap is removed, the patient has to undergo skull repair after their TBI-associated symptoms are under control (15, 29). As the additional skull-repairing procedures may expose the patient to additional risks, such as hydrocephalus, epilepsy and bleeding, craniotomy, which preserves the patient bone flap, certainly has clinical advantages (26, 27). Thus, a clear clinical guideline for neurosurgeons to follow when making decisions based on preoperative patient conditions remains a critical unmet need to optimize TBI patient outcome.

In an effort to establish such a standard, we retrospectively analyzed the clinical data of 2256 TBI cases that underwent surgery in our hospital between March 2001 and March 2012. Preoperative and intraoperative patient information, as well as imaging data, were employed for statistical analysis, with the aim of isolating individual patient-derived factors correlated with the surgical decision to either retain or remove the bone flap.

## MATERIAL and METHODS

### Patient Selection

Upon the approval of this clinical study by the institutional ethics committee, the clinical data of 2256 adult patients (1693 males and 563 females, with ages ranging from 18 to 65) were retrospectively examined. In this study, 1765 of the cases were caused by traffic accidents, 354 cases occurred when individual fell from high ground, 97 cases were caused by direct impact and the remaining 40 cases had miscellaneous causes.

In our study 1125 cases underwent DC, with the intracranial hematoma drained and their bone flaps removed. The remaining 1131 cases underwent craniotomy with their intracranial hematoma drained and their bone flaps retained.

In the patient group with the bone flap removed (the DC group), the patient age ranged from 18 to 65 years old ( $43.25 \pm 6.58$ ), 133 patients had a GCS score  $\geq 9$ , 890 patients had GCS scores of 6-8, and 102 patients had GCS scores between 3 and 5. In the patient group with the bone flap retained (the CR group), the patient age ranged from 18 to 65 years old ( $41.72 \pm 7.23$ ), 673 patients had a GCS score  $\geq 9$ , 445 cases had GCS scores of 6-8, and 13 patients had GCS scores of 3-5. These two patient groups showed no statistically significant difference in terms of their age distribution ( $P > 0.05$ , unpaired student t-test), but there is statistically significant difference with regards to GCS score ( $P < 0.05$ , unpaired student's t-test).

## Methods

All patients were scored quantitatively in the following 9 categories of clinical conditions: Preoperative GCS score, changes in pupil size; hematoma volume, time interval between injury and surgery, midline shift on CT scan, cortical collapse, hematoma location, hematomas type, and lateral ventricular shift deformation (Table I).

The design of our scoring scheme was in line with the preoperative GCS scoring system (typically a 15-point scale). Depending on changes in pupil size before the operation, patients were given a grade of 10, 5 or 0 points. The hematoma volume was graded on a 10-point scale. With regards to injury/surgery time interval, previous studies have shown that the longer the time interval between injury and surgery, the greater the swelling and intracranial pressure (16, 32); thus, a 10-point scale was set that ranged from 1 to 8 hours, with a longer time interval leading to a lower score, as this lowered the likelihood of preserving bone flap (undergoing the CR procedure instead of DC) (12). For the injury/surgery time interval category, we also took into consideration the uneven time distribution when designing the scale to make it more reasonable. Midline shift on CT scan and lateral ventricular shift deformation had a negative correlation with the assigned score, with a greater shift resulting in a lower score.

Patient imaging data and pre/intraoperative conditions are listed in Table I. Based on the severity of the conditions, we scored each patient in order to make a statistical comparison of the patient groups. We determined the mean score  $\pm$  standard deviation ( $x \pm s$ ) of each patient and the mean  $\pm$  standard deviation ( $x \pm s$ ) of the total score, both of which were employed for statistical analysis.

Statistical analysis was performed using a commercially available program (SPSS version 15.0; SPSS, Inc).

Comparisons between the two sets of patients were made with regards to the following nine clinical factors: preoperative GCS score, changes in pupil size, hematoma volume, time interval between injury and surgery, midline shift on CT scan, cortical collapse, hematoma location, hematoma type, and lateral ventricular shift deformation.

Single factor analysis was performed and t-tests were carried out. A difference with a P value  $< 0.05$  was considered to be statistically significant. The independent variables showing significant differences underwent multi-factor logistic regression analysis for confirmation.

## RESULTS

By scoring each clinical factor using the scoring scheme described in Table I, we present in Table II the statistics for the 9 clinical factors for each of two patient groups. We found that preoperative Glasgow Coma Scale (GCS) score, changes in pupil size, hematoma volume, time interval between injury and surgery, midline shift on CT scan, hematoma location and type, cortical collapse and the Lateral ventricular shift

deformation showed a significant association ( $P < 0.05$ ) with the decision to perform CR (preserve the bone flap) (Table II).

We then carried out step-wise logistic regression analysis and we identified several clinical condition categories as independent factors in the decision to preserve the bone flap: GCS score before surgery, changes in pupil size before the operation, cortical collapse, injury/surgery time interval and hematoma location (Table III).

To further establish a number-based scoring system using the key clinical factors identified in Table III, we summed the scores in these categories (listed in Table IV). In the absence of postoperative bleeding and other secondary bleeding, our results suggested that a combined score of  $\geq 55$  led to the preservation of the bone flap. For scores within the range of 50-55, the decision is not apparent, and ultimately the choice of operation should be decided by the patient preconscious

**Table I:** Clinical Factors and Scoring Scheme

Preoperative GCS Score	Changes in Pupil Size		Hematoma Volume		Injury/Surgery Time Interval		Midline Shift on CT Scan	
15 points	No change	10 points	<30 ml	10 points	60 min	10 points	None	10 points
14 points	Single side of the pupil dilated	5 points	30 ml	9 points	90 min	9 points	2 mm	9 points
13 points	Both sides of the pupil dilated	0 points	40 ml	8 points	120 min	8 points	4 mm	8 points
12 points			50 ml	7 points	150 min	7 points	6 mm	7 points
11 points			60 ml	6 points	180 min	6 points	8 mm	6 points
10 points			70 ml	5 points	240 min	5 points	10 mm	5 points
9 points			80 ml	4 points	300 min	4 points	12 mm	4 points
8 points			90 ml	3 points	360 min	3 points	14 mm	3 points
7 points			100 ml	2 points	420 min	2 points	16 mm	2 points
6 points			>100 ml	1 points	480 min	1 points	18 mm	1 points
3-5 points							20 mm	0 points

Cortical Collapse		Hematoma Location		Hematoma Type		Lateral Ventricular Shift Deformation	
20 mm	10 points	Parietal lobe	10 points	Epidural	10 points	None	10 points
15 mm	8 points	Frontal	8 points	Brain	8 points	2 mm	8 points
10 mm	6 points	Occipital lobe	6 points	Subdural	6 points	4 mm	6 points
5 mm	4 points	Temporal lobe	4 points	Breaking into ventricle	4 points	6 mm	4 points
0 mm	2 points	Multiple	2 points	Multiple	2 points	>8 mm	2 points
>0 mm	0 points					>10 mm	0 points

**Table II:** Statistical Analysis of Patient Groups with Bone Flap Removed or Retained

Clinical Factors	Removed	Retained	P value
1. Preoperative GCS score	6.2±0.4	9.3±0.3	0.000
2. Changes in pupil size	6.5±0.2	9.6±0.3	0.000
3. Hematoma volume	6.3±0.3	7.4±0.4	0.000
4. Injury/surgery time interval	5.7±0.3	3.1±0.3	0.000
5. Midline shifts on CT scan	3.5±0.4	6.4±0.3	0.000
6. Cortical collapse	2.8±0.4	5.5±0.3	0.000
7. Location of hematoma	4.0±0.4	5.6±0.3	0.000
8. Type of hematoma	6.5±0.3	7.1±0.6	0.000
9. Lateral ventricular shift deformation	8.4±0.5	6.4±0.3	0.000
Total Score	41.5±0.4	57.2±0.4	

**Table III:** Logistic Regression Analysis of Factors in Association with Preserving the Bone Flap

Clinical Factors	Regression Coefficient	OR (95% CI)	P value
Preoperative GCS score	4.186	1.356~34.683	0.035
Changes in pupil size	1.786	1.326~17.685	0.045
Injury/surgery time interval	3.675	1.568~33.795	0.038
Cortical Collapse	3.214	1.137~26.453	0.043
Hematoma location	1.342	1.867~24.956	0.047

**Table IV:** Comparison of the Combined Scores of the Bone Flap Removal and Retained Patient Groups

Combined Scores	Bone Flap Removed	Bone Flap Retained	Total
≥55	31	801	832
50-55	168	207	375
<50	926	123	1049
Total	1125	1131	2256

status and the extent of cortical collapse. For patients with a score <50, the bone flap was generally removed.

### DISCUSSION

The choice of surgical treatment for traumatic head injuries depends on the preoperative condition of the patient (11, 13, 23). Recently, a number of surgical biomarkers for TBI patients have been proposed, and these are mainly based on ICP, CPP and imaging data (3, 7, 19, 30). Given these biomarkers, we still lack a clear set of standards for neurosurgeons to use as guidelines when deciding what type of surgery to perform: craniotomy, which ultimately preserves the bone flap, or decompressive craniectomy, which removes the bone flap (10, 14). Depending on the patient's condition, the hematoma or damaged brain tissue will be removed. The decision of whether to keep or remove the bone flap is collectively affected by the surgical process and prognosis in order to achieve optimal overall patient outcome (17). Decompressive craniectomy is the surgical procedure of removing the bone flap, which has been shown to reduce secondary brain edema and intracranial pressure (7, 10, 13). However, decompression craniectomy may lead to various complications and side-effects, including skull defects, hydrocephalus, cerebrospinal fluid leak, subdural effusion, postoperative hematoma epilepsy and other delayed complications (15, 26, 27).

In this study, we intended to retrospectively examine patient data and use statistical analysis to derive clinical relevant factors strongly associated with the clinical decision to either preserve or remove the bone flap of patients with TBI. To quantitatively evaluate the significance of 9 independent factors, we attempted to identify a positive correlation of each patient condition with the surgical decision to preserve the bone flap by examining the contribution of each individual factor. After examining the data from 2256 cases, we were able to identify 5 key factors: operation preconscious status (GCS), the occurrence of pupil changes before the operation, cortical

collapse, injury/surgery time interval and hematoma location. Patient GCS score was found to be significantly correlated with the severity of brain injury and the degree of brain edema (3). The severity of brain tissue collapse may affect the intracranial pressure. The edema usually appears 3-4 hours following injury and then gradually deteriorates, affecting intracranial pressure (2). The injury/surgery interval time is correlated with the occurrence of delayed hematomas (13). Intracranial hemorrhage and midline shift are also indications of increased intracranial pressure. When coupled with vascular compression and secondary cerebral infarction, severe brain edema may occur, which may lead to a significant increase in intracranial pressure (8, 25, 31). A less severe injury may lead to small-scale single-focal intracranial hematoma associated with less severe postoperative cerebral edema, whereas more traumatic injury often results in multi-focal complex hematoma, which is associated with more severe cerebral edema and increased intracranial pressure (4). Based on these rationales, we included these factors into our scoring system. For factors that are partially correlated, such as the hematoma volume and midline deviation, both of which determine injury severity, their individual GCS scores are assigned lower to reflect their partially redundant contribution to the overall injury severity. Due to cerebral hypoplasia in children and the elderly brain atrophy, our study did not select these patients. In general, for patients with epidural hematoma but no preoperative herniation, the surgeon will retain the bone flap. For patients with a single intracerebral hematoma, and with no obvious cerebral contusion, the surgeon may also retain the bone flap (1). For older individuals with cerebral atrophy and a large intracranial compensatory space, surgeons usually favor the option of preserving the bone flap (22). For patients with diffuse brain swelling, extensive brain injury or incipient herniation, the decision was often to remove the bone flap (21). Other preoperative factors may also have an association with the decision regarding the bone flap (9), such as blood pressure and body temperature; however they were not

directly correlated with the setting of treatment goals, so they were not included in this study.

The factors identified in this study, as well as the clinical scoring system described here, may be useful to the decision-making process regarding bone flap retention. Our study uses a single-center patient sample; therefore, the conclusions here need to be further verified by a multi-center study. Our scoring system depicted in Table IV, although not used as a standard in the clinics, was able to effectively describe the general trend as to whether the bone flap was retained or removed based on multiple patient factors. Therefore, it may aid the clinical decision-making process regarding the status of the bone flap in patients with traumatic brain injury. In order to further refine this scoring system into a clinically applicable protocol, a multi-center study is needed to ensure the reproducibility and accuracy of this protocol. With more extensive analysis, we hope to establish a clear number-based intraoperative decision-making protocol to reduce the unnecessary mortality and morbidity associated with TBI operations and optimize patient outcome (20, 24, 28).

#### ACKNOWLEDGMENTS

We thank Dr. Bobo Chen and Dr. Kunhu Zhang for assistance with the experiments, and are grateful for the financial support provided by the medical and health research project of Lanzhou Military Region (CLZ12JA19).

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